

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: :
David John Parkinson : Group Art Unit: 3753
Serial No.: 10/553,080 : Confirmation No. 2720
PCT No. PCT/GB04/001351 : Examiner:
International Filing Date: 31 March 2004 : Docket No.: DPS-030807 PET-1013US
Priority Date: 10 April 2003 :
For: FILTRATION APPARATUS : Date: December 5, 2007

RENEWED PETITION IN SUPPORT OF
APPLICATION FILED UNDER 37 CFR §1.47(b)

Mail Stop PCT
Commissioner for Patents
Office of PCT Legal Administration
P. O. Box 1450
Alexandria, Virginia 22313-1450

This is a third Renewed Petition for acceptance of an application where the sole inventor refuses to execute the application for patent.

Ms. Erin P. Thomson, Attorney Advisor for PCT Administration, issued a Decision on Petition under 37 CFR §1.47(b) dated 05 October 2007 setting a period for response of 2 months. Of the items (1) through (6) addressed therein, Ms. Thomson kindly found that items (1), (2), (3), (4) and (6) had been met, for which the Applicant is very appreciative. Ms. Thomson again found that item (5) has not been

I hereby certify that this correspondence, and any attachments referred to, is being deposited with the United States Postal Service with sufficient postage as Express Mail label no. EV835824058US in an envelope addressed to Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration P.O. Box 1450, Alexandria, Virginia 22313-1450 on

5 DECEMBER 2007
Date of Deposit

David L. Mossman 12-5-2007
David L. Mossman Date

satisfied. Item (5) relates to proof that the 37 CFR §1.47(b) applicant has sufficient proprietary interest in the application. More specifically, Ms. Thomson noted that:

However, the assignments on which applicants rely for the rest of the chain of title have not been recorded with the Office. In the absence of the recordation and required statement, they cannot be relied upon 37 CFR §3.73(b)(1)(ii).

The Applicant's attorney, undersigned below, was unsure about the term "required statement" in this context and telephoned Ms. Thomson for clarification. Ms. Thomson helpfully noted that a person with direct knowledge about the assignment documents should sign a statement that the documents represent an accurate and complete chain of title between the previous owner and the present Applicant and that the assignment documents have been or are being recorded.

Thus, in further support of the Petition, the following is presented, in addition to the previous submissions filed 7 August 2007, 23 February 2007 and 05 September 2006:

- A Supplemental Declaration and Statement of Facts in Support of Filing on Behalf of Nonsigning Inventor and Establishing Proprietary Interest for Application Filed Under 37 CFR §1.47(b) (2 pages, signed by Manish B. Vyas on 04 December 2007); and
- Two Notices of Recordation of Patent Assignments (8 total pages, dated 4 and 5 December 2007).

Item (5)

The Applicant respectfully submits that the attached Supplemental Declaration, supplementing Mr. Vyas' previous Declaration filed 23 February 2007, establishes that:

- He is familiar with the documents entitled "Assignment" signed by Simon Robert Haskew for Dynamic Processing Systems Limited and Michael P. Hartmann for KCC Group Limited, previously submitted herein as Exhibit

B and "An Agreement made the 1st day of September 2004" , previously submitted herein as Exhibit C.

- Upon information and belief, these documents, Exhibits B and C, are a complete and accurate chain of title between the previous owner of the subject invention Dynamic Processing Systems Limited and the present owner of the invention KCC Group Limited.
- Upon information and belief, he has instructed that these documents, identified herein as Exhibits B and C, be submitted to the United States Patent and Trademark Office, Assignments Recordation Services, for recordation.

Further, enclosed are copies of the Notices of Recordation of Patent Assignments showing that the "Assignment" signed by Simon Robert Haskew for Dynamic Processing Systems Limited and Michael P. Hartmann for KCC Group Limited, previously submitted herein as Exhibit B was recorded at reel 020192 and frame 0785 on 4 December 2007, and that "An Agreement made the 1st day of September 2004", previously submitted herein as Exhibit C was recorded at reel 020192 and frame 0885 on 4 December 2007.

The Applicant respectfully submits that proper recordation has been accomplished and supported by the required statement and that these documents (previously submitted as Exhibits B and C) may now be properly relied upon, and that item (5) has now been met. Applicant has established that it has sufficient proprietary interest in the above-identified application. Since all required items (1)-(6) have now been met, the Applicant respectfully requests that the application be accepted.

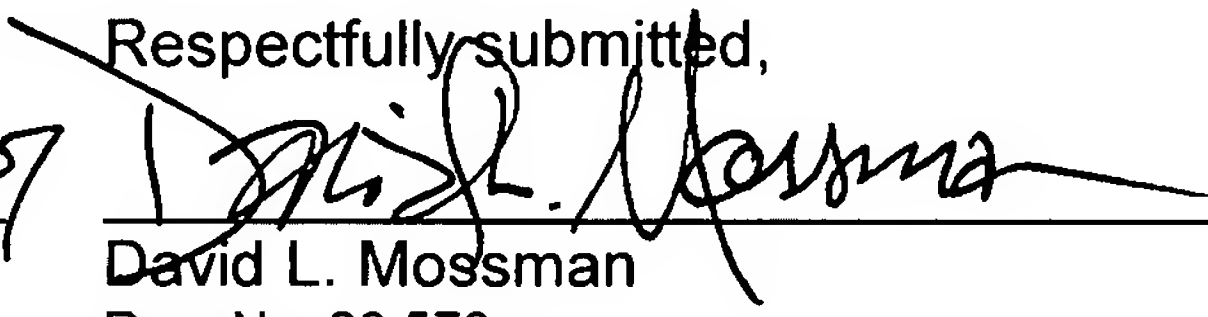
Prayer for Relief

In view of these facts, the Applicant respectfully petitions the United States Patent and Trademark Office to accept the application because the inventor has refused to sign, and accept the application on behalf of one showing sufficient

proprietary interest in the matter justifying such action, necessary to preserve the rights of said party, in particular that item (5) has now also been satisfied. Ms. Thomson is invited to call the Applicant's attorney at the number below to discuss any issue relating to this matter, particularly any effort that would help move the Petition to grant.

Fee

The petition fee has been previously paid; Ms. Thomson noted in the 21 Nov. 2006 Decision, 08 June 2007 Decision and 05 October 2007 Decision that no additional petition fee is required. However, if any additional fees are due with respect to this Petition and submissions attached hereto, the Examiner is authorized to charge such fees to the Madan, Mossman & Sriram, P.C. Deposit Account No. 13-0010 (PET-1013US).

Respectfully submitted,
Date: 12-5-2007 

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Attorney for Applicant
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: :
David John Parkinson : Group Art Unit:
Serial No.: 10/553,080 : Examiner:
International Filing Date: March 31, 2004 : Docket No.: DPS-030807 PET-1013US
For: FILTRATION APPARATUS :

**SUPPLEMENTAL DECLARATION AND STATEMENT OF FACTS IN SUPPORT
OF FILING ON BEHALF OF NONSIGNING INVENTOR AND ESTABLISHING
PROPRIETARY INTEREST FOR APPLICATION FILED UNDER 37 CFR §1.47(b)**

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Commissioner for Patents
Office of PCT Legal Administration
P. O. Box 1450
Alexandria, Virginia 22313-1450

I, Manish B. Vyas, hereby declare that:

Supplemental Statement of Facts in Support of Filing on Behalf of the Nonsigning
Inventor

Upon information and belief, I am a person showing a sufficient proprietary interest for the Applicant KCC Group Limited. This Declaration supplements my previous Declaration filed in this matter on 23 February 2007 as part of a Renewed Petition under 37 CFR §1.47(b). My authority for signing this Supplemental Declaration was established in that previous Declaration.

I hereby certify that this correspondence, and any attachments referred to, is being deposited with the United States Postal Service with sufficient postage as Express Mail label no. EV835824058US in an envelope addressed to Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration P.O. Box 1450, Alexandria, Virginia 22313-1450 on

5 DECEMBER 2007

Date of Deposit

David L. Mossman

12.5.2007
Date

Upon information and belief, I attest that I am familiar with the documents entitled "Assignment" signed by Simon Robert Haskew for Dynamic Processing Systems Limited and Michael P. Hartmann for KCC Group Limited, previously submitted herein as Exhibit B and "An Agreement made the 1st day of September 2004" , previously submitted herein as Exhibit C.

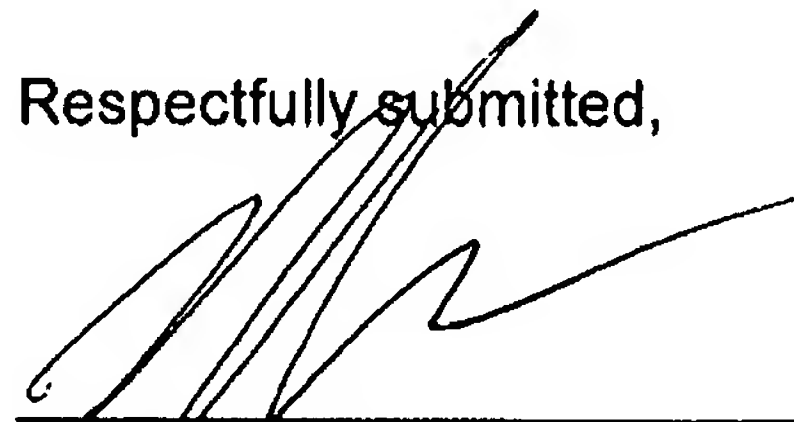
Upon information and belief, these documents, Exhibits B and C, are a complete and accurate chain of title between the previous owner of the subject invention Dynamic Processing Systems Limited and the present owner of the invention KCC Group Limited.

Upon information and belief, I have instructed that these documents, identified herein as Exhibits B and C, be submitted to the United States Patent and Trademark Office, Assignments Recordation Services, for recordation.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application cited above or any patent issued thereon. Further Declarant sayeth not.

Date: 04 December 2007

Respectfully submitted,



Manish B. Vyas
Reg. No. 54,516

Manager, Patent Services
Authorized agent for KCC Group
Limited
P.O. Box 1212
Houston TX 77251
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Manish.Vyas@c-a-m.com

O:DAVID L. MOSSMAN COMPANY:MADAN MOSSMAN & SRIRAM PC



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE



DECEMBER 04, 2007

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 12/04/2007

REEL/FRAME: 020192/0785
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: PET-1013US

ASSIGNOR:

DYNAMIC PROCESSING SOLUTIONS
LIMITED

DOC DATE: 09/01/2004

ASSIGNEE:

KCC GROUP LIMITED
111 WINDMILL ROAD
SUNBURY ON THAMES
MIDDLESEX, UNITED KINGDOM TW16 7EF

SERIAL NUMBER: 10553080
PATENT NUMBER:
TITLE: FILTRATION APPARATUS

FILING DATE:
ISSUE DATE:

PO:DAVID L. MOSSMAN COMPANY:MADAN MOSSMAN & SRIRAM PC

020192/0785 PAGE 2

ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

12/04/2007
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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Dynamic Processing Solutions Limited	09/01/2004
RECEIVING PARTY DATA	
Name:	KCC Group Limited
Street Address:	111 Windmill Road
Internal Address:	Sunbury on Thames
City:	Middlesex
State/Country:	UNITED KINGDOM
Postal Code:	TW16 7EF
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	10553080
CORRESPONDENCE DATA	
Fax Number:	(713)266-8510
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	713-266-1130
Email:	docket@madanlaw.com
Correspondent Name:	David L. Mossman
Address Line 1:	Madan Mossman & Sriram PC
Address Line 2:	2803 Augusta Drive, Suite 700
Address Line 4:	Houston, TEXAS 77057-5862
ATTORNEY DOCKET NUMBER:	PET-1013US
NAME OF SUBMITTER:	David L. Mossman
Total Attachments: 4 source=DPS to KCC Assignments#page1.tif source=DPS to KCC Assignments#page2.tif	

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NO:DAVID L. MOSSMAN COMPANY:MADAN MOSSMAN & SRIRAM PC

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TO: DAVID L. MOSSMAN COMPANY: MADAN MOSSMAN & SRIRAM PC



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE



DECEMBER 05, 2007

*500411381A

PTAS

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HOUSTON, TX 77057-5662

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

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RECORDATION DATE: 12/04/2007

REEL/FRAME: 020192/0885
NUMBER OF PAGES: 29

BRIEF: PURCHASE AGREEMENT
DOCKET NUMBER: PET-1013US

ASSIGNOR:
DYNAMIC PROCESSING SOLUTIONS
LIMITED

DOC DATE: 09/01/2004

ASSIGNEE:
KCC GROUP LIMITED
111 WINDMILL ROAD
SUNBURY ON THAMES
MIDDLESEX, UNITED KINGDOM TW16 7EF

SERIAL NUMBER: 10553080
PATENT NUMBER:
TITLE: FILTRATION APPARATUS

FILING DATE:
ISSUE DATE:

TO:DAVID L. MOSSMAN COMPANY:MADAN MOSSMAN & SRIRAM PC

020192/0885 PAGE 2

MARY BENTON, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

12/04/2007
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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	Purchase Agreement
CONVEYING PARTY DATA	
Name	Execution Date
Dynamic Processing Solutions Limited	09/01/2004
RECEIVING PARTY DATA	
Name:	KCC Group Limited
Street Address:	111 Windmill Road
Internal Address:	Sunbury on Thames
City:	Middlesex
State/Country:	UNITED KINGDOM
Postal Code:	TW16 7EF
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	10553080
CORRESPONDENCE DATA	
Fax Number:	(713)268-8510
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
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Correspondant Name:	David L. Mossman
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Address Line 4:	Houston, TEXAS 77057-5862
ATTORNEY DOCKET NUMBER:	PET-1013US
NAME OF SUBMITTER:	David L. Mossman
Total Attachments: 27 source=Dynamic-KCC Agreement#page1.tif source=Dynamic-KCC Agreement#page2.tif	

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